

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AR / GP2812  
TPW

Applicant: Michael J. O'Phelan et al.

Title: FLAT CAPACITOR HAVING STAKED FOILS AND EDGE-CONNECTED CONNECTION MEMBERS

Docket No.: 279.168US2

Filed: December 5, 2003

Examiner: Ha T. Nguyen



Serial No.: 10/728,655

Due Date: June 5, 2005 (a Sunday)

Group Art Unit: 2812

MS AF

Commissioner for Patents

P.O. Box 1450

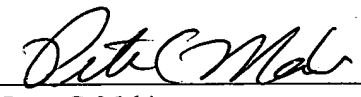
Alexandria, VA 22313-1450

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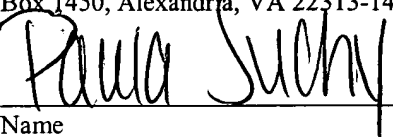
- ☒ A return postcard.
- ☒ An Amendment and Response Under 37 C.F.R. 1.116 (13 pgs.).
- ☒ A Supplemental Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), and copies of 2 cited documents, *including authorization to charge Deposit Account No. 19-0743 in the amount of \$180.00 to cover the fee for consideration of the Supplemental Information Disclosure Statement.*
- ☒ A Copy of the initialed 1449 Form (3 pgs.).

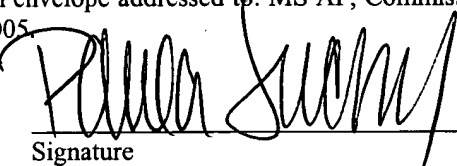
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SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
Customer Number 21186

By:   
Atty: Peter C. Maki  
Reg. No. 42,832

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 10 day of June, 2005.

  
Name

  
Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
(GENERAL)

S/N 10/728,655



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Michael J. O'Phelan et al.	Examiner:	Ha T. Nguyen
Serial No.:	10/728,655	Group Art Unit:	2812
Filed:	December 05, 2003	Docket:	279.168US2
Title:	FLAT CAPACITOR HAVING STAKED FOILS AND EDGE-CONNECTED CONNECTION MEMBERS		

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

MS AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), Applicants hereby authorize the Commissioner to charge the fee of \$180.00, as set forth in 37 C.F.R. §1.17(p), to Deposit Account No. 19-0743.

06/08/2005 SSITHIB1 00000065 190743 10728655

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :10/728,655

Filing Date: December 05, 2003

Title: FLAT CAPACITOR HAVING STAKED FOILS AND EDGE-CONNECTED CONNECTION MEMBERS

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Dkt: 279.168US2

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's below-signed representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

MICHAEL J. O'PHELAN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 359-3267

Date

6/6/05

By

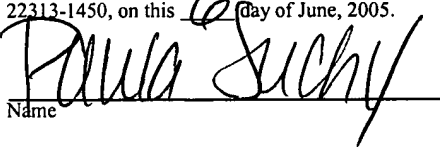


Peter C. Maki

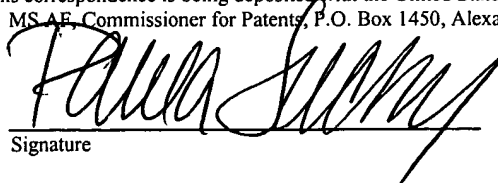
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Name



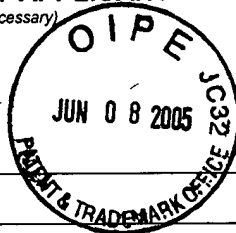
Signature



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/728,655
Filing Date	December 5, 2003
First Named Inventor	O'Phelan, Michael
Group Art Unit	2812
Examiner Name	Nguyen, Ha

Sheet 1 of 1

Attorney Docket No: 279.168US2

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
	US-2005/0052825 A1	03/10/2005	O'Phelan, M. J.	10/20/2004
	US-3,150,301	09/22/1964	Schils, A. L., et al.	12/07/1961
	US-6,556,863	04/29/2003	O'Phelan, Michael J., et al.	10/02/1998
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T <sup>2</sup>
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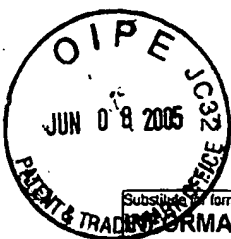
**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		DOFFING, B. , et al., "METHOD AND APPARATUS FOR PROVIDING FLEXIBLE PARTIALLY ETCHED CAPACITOR ELECTRODE INTERCONNECT", <u>US Patent Application Ser. No. 10/996,903, filed November 24, 2004 (Attorney Docket No. 279.831US1), 239 Pgs.</u>	
		POPLETT, J. M., "CAPACITOR WITH SINGLE SIDED PARTIAL ETCH AND STAKE", <u>US Patent Application Ser. No. 11/065,873, filed February 25, 2005, Attorney Docket No. 279.826US1, 28 pgs.</u>	

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached



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Sheet 1 of 3

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PTO/SB/08A(1001)  
US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE

Complete if Known	
Application Number	10/728,655
Filing Date	December 5, 2003
First Named Inventor	O'Phelan, Michael
Group Art Unit	2831
Examiner Name	Ha, Nguyen
Attorney Docket No: 279.168US2	

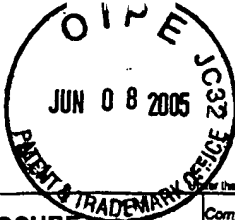


US PATENT DOCUMENTS						
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
ltn	US-2001/0020319 A1	09/13/2001	Farahmandi, C. J., et al.	29	25.03	02/13/2001
	US-2003/0077509 A1	04/24/2003	Probst, Joseph M., et al.	426	162	10/16/2002
	US-2003/0195568 A1	10/16/2003	O'Phelan, Michael J., et al.	607	5	04/17/2003
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	US-1,931,043	10/17/1933	Taylor, F. W.			03/05/1932
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	US-4,169,003	09/25/1979	Dangel, Phoenix N., et al.	156	179	11/17/1977
	US-4,232,099	11/04/1980	Sullivan, Charles I.	429	152	02/06/1976
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EXAMINER

DATE CONSIDERED 2-22-5

Substitute Disclosure Statement Form (PTO-1449)  
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Approved for use through 10/31/2002, OMB 651-0031  
US Patent & Trademark Office U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/728,655
Filing Date	December 5, 2003
First Named Inventor	O'Phelan, Michael
Group Art Unit	2831
Examiner Name	Ha, Nguyen

Sheet 2 of 3

Attorney Docket No: 279.168US2

h	US-5,882,362	03/16/1999	Muffoletto, Barry C., et al.	29	623.1	04/27/1998
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✓	US-6,763,265	07/13/2004	O'Phelan, M. J., et al.	607	5	04/17/2003

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DATE CONSIDERED

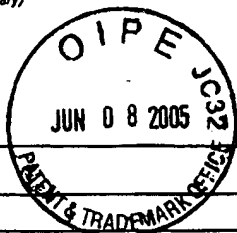
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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/728,655
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	First Named Inventor	O'Phelan, Michael
	Group Art Unit	2831
	Examiner Name	Ha, Nguyen
Attorney Docket No: 279.168US2		



Sheet 3 of 3

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	JP-52-004051	01/12/1977	Nakamura, Jin , et al.	H01 G	9/04	✓
	JP-59-083772	05/15/1984	Teramatsu, Makoto	C23F	1/02	✓

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
lm		O'PHELAN, MICHAEL J., et al., "CAPACITOR HAVING A FEEDTHROUGH ASSEMBLY WITH A COUPLING MEMBER", Application Serial No. 09/706,579, Filed November 3, 2000 Attorney Docket No. 279.271US1, 29 pgs.	
		O'PHELAN, MICHAEL , et al., "CAPACITOR HAVING A FEEDTHROUGH ASSEMBLY WITH A COUPLING MEMBER", Application Serial No. 10/846,805, Filed May 14, 2004 Attorney Docket No. 279.271US2, 39 pgs.	
		O'PHELAN, MICHAEL J., et al., "FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE", Application Serial No. 10/758,701, Filed January 15, 2004 Attorney Docket No. 279.234US4, 219 pgs.	
		O'PHELAN, MICHAEL J., et al., "FLAT CAPACITOR FOR AN IMPLANTABLE MEDICAL DEVICE", Application Serial No. 10/758,677, Filed January 15, 2004 Attorney Docket No. 279.234US3, 219 pgs.	
		O'PHELAN, MICHAEL J., "FLAT CAPACITOR HAVING AN ACTIVE CASE", Application Serial No. 09/706,517, Filed November 3, 2000 Attorney Docket No. 279.235US1, 39 pgs.	
		O'PHELAN, MICHAEL J., et al., "IMPLANTABLE HEART MONITORS HAVING CAPACITORS WITH ENDCAP HEADERS", Application Serial No. 10/736,209, Filed December 15, 2003 Attorney Docket No. 279.088US2, 19 pgs.	
		O'PHELAN, MICHAEL J., et al., "IMPLANTABLE HEART MONITORS HAVING FLAT CAPACITORS WITH CURVED PROFILES", Application Serial No. 10/729,424, Filed December 4, 2003 Attorney Docket No. 279.171US3, 28 pgs.	
		O'PHELAN, MICHAEL , et al., "METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR", Application Serial No. 10/874,798, filed June 23, 2004 Attorney Docket No. 279.823US1, 39 pgs.	
		O'PHELAN, MICHAEL , et al., "METHOD OF CONSTRUCTING A CAPACITOR STACK FOR A FLAT CAPACITOR", Application Ser. No. 10/882,144, Filed June 30, 2004 Attorney Docket No. 279.169US3, 57 pgs.	
		SCHMIDT, BRIAN L., et al., "CONFIGURATIONS AND METHODS FOR MAKING CAPACITOR CONNECTIONS", Application Serial No. 09/706/576, Filed November 3, 2000 Attorney Docket No. 279.268US1, 26 pgs.	
✓		SCHMIDT, BRIAN L., et al., "METHOD FOR INTERCONNECTING ANODES AND CATHODES IN A FLAT CAPACITOR", Application Serial No. 10/804,228, Filed March 18, 2004 Attorney Docket No. 279.339US3, 40 pgs.	

EXAMINER

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DATE CONSIDERED

2/25